



Atty. Docket No. 06701.P035

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Quang Tran, et al.

Application No. 10/665,973

Filed: September 18, 2003

For: CATHETER FOR INTRAFALLOPIAN  
CONTRACEPTIVE DELIVERY

Examiner: Not yet assigned

Art Unit: 3764

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

STATEMENT UNDER 37 C.F.R. §1.97(e)

Sir:

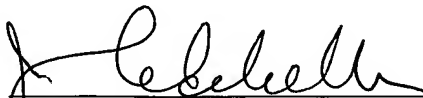
I hereby certify (as indicated by an "X" to the left of the appropriate paragraph):

- X That each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.
- That no item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned attorney after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in §1.56(c) more than three months prior to the filing the Information Disclosure Statement.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 4/19, 2005

  
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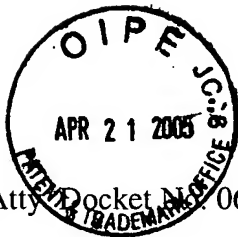
Name of Person Mailing Correspondence

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Signature

Date

4-19-05



IPW

Attorney Docket No. 06701.P035

PATENT

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|                                  |   |                            |
|----------------------------------|---|----------------------------|
| In re Application of:            | ) |                            |
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| Quang Tran, et al.               | ) | Examiner: Not yet assigned |
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| Application No. 10/665,973       | ) | Art Unit: 3764             |
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| CONTRACEPTIVE DELIVERY           | ) |                            |

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Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

\_\_\_\_\_ 37 C.F.R. §1.97(b).

X   37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

  X   A statement pursuant to 37 C.F.R. §1.97(e) or

       A check for \$        for the fee under 37 C.F.R. § 1.17(p).

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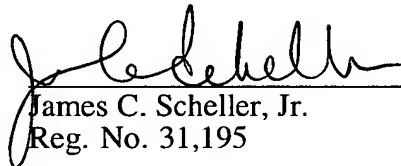
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- (2) A check for \$                    for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated:   4/19  , 2005

  
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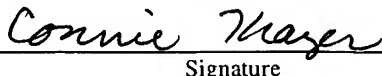
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| <b>Substitute for Form 1449/PTO</b><br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(use as many sheets as necessary) |          |           | <b>Complete if Known</b> |                     |            |
|  |          |           | Application Number       | 10/665,973          |            |
|  |          |           | Filing Date              | 09/18/2003          |            |
|  |          |           | First Named Inventor:    | Quang Tran          |            |
|  |          |           | Art Unit                 | 3764                |            |
|  |          |           | Examiner Name            | not yet assigned    |            |
| <b>Sheet</b>   | <b>1</b> | <b>of</b> | <b>2</b>                 | Attorney Docket No. | 06701.P035 |

| U.S. PATENT DOCUMENTS |                       |                 |                                      |                                |  |   |
|-----------------------|-----------------------|-----------------|--------------------------------------|--------------------------------|--|---|
| Exmnr<br>Initials*    | Cite No. <sup>1</sup> | Document Number |                                      | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns,<br>Lines, Where<br>Relevant Passages<br>or Relevant Figures<br>Appear |
|                       |                       | Number          | Kind Code <sup>2</sup><br>(if known) |                                |  |   |
|                       |                       | US 6,482,171    | B1                                   | 11/19/2002                     | Corvi et al.                                       |   |
|                       |                       | US 6,027,863    | B1                                   | 02/22/2000                     | Donadio, III                                       |   |
|                       |                       | US-             |                                      |                                |  |   |
|                       |                       | US-             |                                      |                                |  |   |
|                       |                       | US-             |                                      |                                |  |   |
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| FOREIGN PATENT DOCUMENTS |                          |                           |                     |                                      |                                   |  |  |                |
|--------------------------|--------------------------|---------------------------|---------------------|--------------------------------------|-----------------------------------|--|--|----------------|
| Exmnr<br>Initials*       | Cite<br>No. <sup>1</sup> | Foreign Patent Document   |                     |                                      | Publication<br>Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns,<br>Lines, Where<br>Relevant<br>Passages or<br>Relevant Figures<br>Appear | T <sup>6</sup> |
|                          |                          | Country Code <sup>3</sup> | Number <sup>4</sup> | Kind Code <sup>5</sup><br>(if known) |                                   |  |  |                |
|                          |                          | WO                        | 01/13832            | A1                                   | 01/03/2001                        | Conceptus, Inc.                                    |  |                |
|                          |                          | WO                        | 97/32623            | A1                                   | 09/12/1997                        | Heartport, Inc.                                    |  |                |
|                          |                          | WO                        | 03/004086           | A2                                   | 01/16/2003                        | Precision Vascular Systems, Inc.                   |  |                |
|                          |                          |                           |                     |                                      |                                   |  |  |                |
|                          |                          |                           |                     |                                      |                                   |  |  |                |

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| Examiner<br>Signature |  | Date<br>Considered |  |
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

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**Complete if Known**

|                       |                  |
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| Application Number    | 10/665,973       |
| Filing Date           | 09/18/2003       |
| First Named Inventor: | Quang Tran       |
| Art Unit              | 3764             |
| Examiner Name         | not yet assigned |
| Attorney Docket No.   | 06701.P035       |

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| Sheet | 2 | of | 2 |
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## NON PATENT LITERATURE DOCUMENTS

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|                    |                 |
|--------------------|-----------------|
| Examiner Signature | Date Considered |
|--------------------|-----------------|

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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